



I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Mail Stop Issue Fee, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,714

Confirmation No. : 3982

Applicant: Vishnu K. Agarwal

: August 31, 2000

Attorney Docket No.: 501082.10 (98-0616.09)

Art Unit

: 2815

Customer No.

: 27,076

Examiner: Jose R. Diaz

Title

Filed

: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

01/15/2004 MAHMED1 00000077 09652714

01 FC:1806

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37

App. No. 09/652,714

C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Steven H. Arterberry Registration No. 46,314

SHA:tlm

Enclosures:

Postcard
Check
Fee Transmittal (+ copy)
Copy of Information Disclosure Statement
Form PTO-1449

Cited References (3)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-1449 (REV.7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501082.10 (98-0616.09) APPLICATION NO. 09/652,714

APPLICANT(S)

Vishnu K. Agarwal

FILING DATE

GROUP ART UNIT 2815

INFORMATION DISCLO TEMENT (Use several she

	SATE TRI	AD AMP		August 31, 2000		GROUP ART UNIT 2815			
		U.S	. PATENT	DOCUMENTS					
	DOCUMENT NUMBER	DATE		NAME	CLAS	SS SUBCLASS		ING DATE	
AA	5,622,888	04/22/97	Sekine et al.  Zenke		438	398			
AB	5,700,710	12/23/97			437	60			
AC	6,077,742	06/20/00	Chen et al.		438	255			
AD									
AE									
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		FOREI	GN PATEN	T DOCUMENTS			<u> </u>		
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION		
AV						-11	YES	NO	
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AO									
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AP									
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	AB AC AD AE AF AG AH AI AJ  AK AL AM AN AO	DOCUMENT NUMBER	DOCUMENT NUMBER   DATE	DOCUMENT NUMBER   DATE     AA   5,622,888   04/22/97   Sekine et     AB   5,700,710   12/23/97   Zenke     AC   6,077,742   06/20/00   Chen et al     AB   AB   AB   AB   AB     AI   AI   AI   AI   AI     AI   AI	U.S. PATENT DOCUMENTS    DOCUMENT NUMBER   DATE   NAME     AA   5,622,888   04/22/97   Sekine et al.     AB   5,700,710   12/23/97   Zenke     AC   6,077,742   06/20/00   Chen et al.     AB   AF               AG               AG               AI               AJ               DOCUMENT NUMBER   DATE   COUNTRY     AK               AN               AN             AD             OTHER PRIOR ART (Including Author, Title, Date, Pertinental Parts)	NAME   CLAS	DOCUMENT NUMBER   DATE   NAME   CLASS   SUBCLASS	U.S. PATENT DOCUMENTS    DOCUMENT NUMBER   DATE   NAME   CLASS   SUBCLASS   FILM   FF APP	

\* EXAMINER:

Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in

(IPE)						7-1-		
2 0 6	Complete if Known							
61 3 ( JAN 1 4 2004 3)	Application No.			09/652,714				
I JAN . (3)	Filing Date			August 31, 2000				
FEE TRANSMITTAE SHEET (FOR EM 2004)	First Na	amed In	ventor	Vishnu K. Agarwal				
(1 011-2007)	Group Art Unit			2815				
	Examiner			Jose R. Diaz				
	Atty. Docket Number			501082.10 (98-0616.09)				
METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)							
1. [X] The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and	3. ADDITIONAL FEES Large Entity Small Entity							
1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid		
	1051	130	2051	65	Surcharge - Late filing fee or oath	\$		
2. [X] Check Enclosed	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$		
FEE CALCULATION	1053	130	1053	130	Non-English specification	\$		
1. BASIC FILING FEE Large Entity Small Entity	1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination Requesting publication of SIR prior	\$		
Fee Fee Fee Fee Fee Description	1804		1804	920	Requesting publication of SIR prior to Examiner action  Requesting publication of SIR after	\$		
Code (\$) Code (\$) 1001 770 2001 385 [] Utility Filing Fee	1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	\$		
1002 340 2002 170 Design Filling Fee	1251	110	2251	55	Extension for reply within first month	\$		
1003 530 2003 265 [] Plant Filing Fee	1252	420 950	2252	210 475	Extension for reply within 2 <sup>rd</sup> month  Extension for reply within 3 <sup>rd</sup> month	- \$		
1004 770 2004 385 [] Reissue Filing Fee	1253	950 1,480	2253	740	Extension for reply within 3 <sup>th</sup> month	\$		
1005 160 2005 80 [] Provisional Filing Fee	1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month	\$		
Subtotal (1) \$ 0	1401	330	2401	165	Notice of Appeal	\$		
2. EXTRA CLAIM FEES	1402	330	2402	165	Filing a brief in support of an appeal	\$		
Current Claims Prior Extra Fee Fee Paid	1403	290	2403	145	Request for oral hearing	\$		
Total28 = 0 x \$ = \$0	1814	110	2814	55	Terminal Disclaimer Fee	\$		
Ind 6 = x = \$0	1452	110	2452	55	Petition to revive – unavoidable	\$		
Multiple Dependent Claims x \$ = \$	1453	1,330	2453	665	Petition to revive – unintentional	\$		
Subtotal (2)\$ <u>0</u>	1501	1,330 480	2501 2502	665	Utility/Reissue issue fee  Design issue fee	\$ \$		
Large Entity Small Entity	1502 1503	640	2502	320	Plant issue fee	\$		
Fee Fee Fee See (\$) Fee Description	1460	130	1460	130	Petitions to the Commissioner	\$		
1202 18 2202 9 Claims in excess of 20	1807	50	1807		Processing fee under 37 CFR 1.17(q)	\$		
1201 86 2201 43 Independent claims in excess of 3	1806	180	1806	, 180	Submission of IDS	\$ 180		
1203 290 2203 145 Multiple dependent Claim  1204 86 2204 43 Reissue independent claims over original patent	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$		
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	\$		
	Other fee (specify)					\$		
					Subtotal (3)	\$ 180		
				•	Total Amount of Payment:	\$ 180		
Submitted by:								
Name: Steven H. Arterberry Reg. No.: 46,314			Т	elephon	e: (206) 903-8800			

Date: 1/8/04

Signature: Cillubur
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